Merrill Edge **MarketPro**®
Security Sales Log

MERRILL A BANK OF AMERICA COMPANY

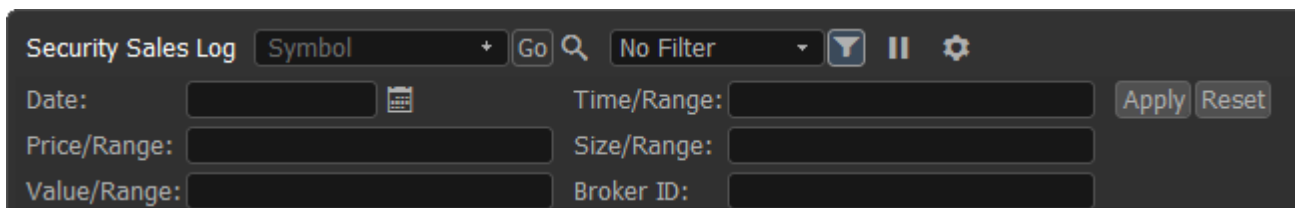The **Security Sales Log** displays bids and asks, as well as completed trades.
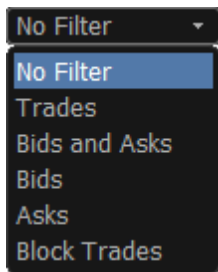
TOOLBAR GUIDE

1 Symbol Entry – allows input of a symbol for window

2 Go – enters symbol

3 Symbol Search – opens symbol search dialog

4 Filter selection – allows selection of a pre-defined filter

5 Filter – opens / closes the filter dialog information

6 Play / Pause

- Play: View it in dynamic mode, which is streaming, real-time data

- Pause: View in static mode, which does not continuously update data

7 Customize – opens dialog to customize columns display and order of display

FILTERING THE DISPLAYED DATA

Select filter icon ▼ to expand / collapse the filter dialog

Filter transaction types using the menu

Filter displayed information on the following fields: Date, Price Range, Value Range, Time Range, Size Range and Broker ID (Canadian Markets only)

- To select a date, click on the calendar next to the Date field.
- To select a Time Range, type the start time (HH:MM:SS), a dash (-), and the end time (HH:MM:SS)
- To select a Price, Value Range or Size Range use the following relational operators: =, >, >=, <, <=, and –
  For example:
  - =48 (display only price/sizes equal to 48)
  - >=15 (display all price/sizes equal to or greater than 15)
  - 17–19 (display all price/sizes between 17 and 19)
- Click the Apply button to apply the filter
- Click the Reset button to clear all filters

To define columns for display, right click on window and select **Columns,** or select customize icon

To sort display (static mode only) click on the column header

WINDOW LINKING

- Select linking icon to adjust window linking settings
- With "Link to All" enabled, window will receive symbols selected from other windows (default)
- With "Symbol Broadcast Source" enabled, window will send entered symbols to other windows
- Colors: If selected, window will only send symbols to windows with same color in link settings

WINDOW OPTIONS

Select the options icon to adjust window options

- **New** - Creates a new window. Select the window type, then select the position of the new window, or choose "In this window" to replace existing window with the new window.
- **Show Window Title** – Un-selecting this option hides the window description. Re-check to display window description.
- **Maximize/Restore** – Only displayed if window does not fill entire page. Maximize expands the window to fill the current page. Restore will set back to original size.
- **Show Titlebar -** Un-selecting this option hides the title bar that is displayed at the upper left. To show the title bar again, move cursor up to the top of the window until the cursor pointer turns to a hand, then right click and re-check Show Titlebar, or from main menu select View > Show Title Bars (this will restore all hidden title bars)
- **Show Titlebar description** – Display custom description as defined using Customize Titlebar Description
- **Customize Titlebar Description…** – Replaces default description and titlebar controls with a customizable description. For symbol specific windows, use #sym to insert currently selected symbol into custom description. Click anywhere on the titlebar to restore default description and titlebar controls.
- **Show Footer** - Un-selecting this option hides the footer. Re-select to display the footer again.

- **Copy Out** (displayed when window is docked) – Copies this window as a floating (stand alone) window. This action can also be performed using the Copy Out icon
- **Attach** (displayed when window is un-docked) – Moves a floating (stand alone) window inside the active page
- **Cut Window -** copies the window to the clipboard, and removes the window from the workspace. Once copied, click Edit > Paste Security Sales Log to add the window to the workspace using default settings for adding a new window (use View > Preferences > Workspace > Open new window by default to change how new windows are added to the workspace)
- **Copy Window –** copies the window to the clipboard, with all settings. Once copied, click options icon and choose Paste Security Sales Log, then choose a position for copy of window. Or click Edit > Paste Security Sales Log to add the window to the workspace using default settings for adding a new window
- **Copy Window Image** – copies an image of the window to the clipboard, which can then be pasted into an email, document or image editing application
- **Send Image by E-mail… -** creates new email message using default email client with screenshot of current window attached
- **Take Custom Screenshot –** drag mouse to define an area to copy, then paste into image editor
- **Print** – Prints this window
- **Help** – loads this help file
- **Display Properties**
  - Default Row – formatting for selected row
  - Quotes – formatting for bid and ask
  - Trades – formatting for trade quotes
  - Gridlines – display and color of gridlines
  - Formatting – precision and format of displayed data
  - Symbol Details – general display settings
- **Empty -** (displayed when window is docked) – removes the window and leaves an empty space in which a new window can be added
- **Close –** removes the window. Any remaining windows will be adjusted to fill empty space

CONDITIONS

The following conditions may appear in the conditions column for certain trades or quotes. For quick reference, you can also hover over a condition when it appears in the sales log to view the definition.

A(ShortSale) B (ShortSale)	Short selling can only be initiated at a price above the highest prevailing national bid by posting a short sale order priced above the national bid.
Acqstn	Acquisition: When a company acquires majority interest in other company.
Actual	Actual: Refers to actual physical commodities, as opposed to futures.
Adjusted	Adjusted: Option contract for which the terms have been adjusted to reflect a stock dividend, a stock split or similar events.
AtLimit	Price at Limit price band.
AuctPrice	Auction Price: Price determined by auction.
AuctTrade	Auction Trade
Auto	Transaction was executed electronically.
AutoElig	Auto-Execution Eligible: Security is eligible for automatic execution on the exchange floor.
AutoQte	Auto Quote
AvgPrice	Average Price: A trade where the price reported is based upon an average of the prices for the transactions in a security during all or any portion of the trading day.
Backward	Backwardation: When spot price exceeds forward prices

BasisTrde	Basis Trade: reflects arbitrage strategy consisting of the purchase of a particular security and the sale of a similar security. Basis trading is done when the investor feels that the two securities are mispriced in relation to each other.
BB	Best Bid: Highest price offered for a security.
BestOffer	Best Offer: Lowest price asked for a security.
BestPrice	Best price
BlockTrde	Block Trade: A large order
BnchdSold	Bunched Sold: Bunched trade not reported within 90 seconds of execution.
BnchdTrde	Bunched Trade: Aggregation of two or more regular trades executed within the same 60 seconds, at the same price.
Broker	Broker to broker trade
BurstBskt	Burst Basket Execution: A burst basket execution signifies a trade wherein the equity specialists, acting in the aggregate as a market maker, purchase or sell the component stocks required for execution of a specific basket trade.
BuyWrteTrde	Buy Write Trade: Order to simultaneously buy shares of an equity and sell a call option of the same underlying equity.
ByPass	ByPass Trade
CabPrice	Cabinet Price: A special price which is the lowest value at which an option can trade
Calc	Calculated: Price is result of a calculation.
Cancld	Cancelled: Erroneous trade
CancldCorr	Cancelled Corrected: Quote is either cancelled or corrected.
CanclPrice	Cancelled Price: The lowest possible bid price of units in a unit trust which is usually lower than the quoted bid price. The cancellation price may be applied in the event of heavy selling.
CanclTrde	Cancelled Trade: Used to purge specific order from system.
CAPElection	Conversion and Parity (CAP) Election Trade. Sales as a result of a sweep execution on the NYSE, whereby CAP orders have been elected and executed, and appear as repeat trades at subsequent execution prices.
CashOnly	Cash Only: A security settling in cash all day on a participant or consolidated basis, such as a Common, Preferred or Right that is nearing expiration.
CashTrde	Cash Trade: A transaction which requires delivery of securities and payment on the same day the trade takes place.
Clear	Clear
Closing	Closing transaction.
ClsdQte	Closed Quote
ComboTrde	Combo Trade: Order to simultaneously buy a put and sell a call or buy a call and sell a put for the same underlying security.
Consol	Consolidated: Combining of several prices into a single one
Contango	Contango: When future price gets above expected future spot price
ContTrd	The execution of the transaction is contingent upon some event.
CorpAction	Corporate Action: Action bringing change to the security (i.e. splits acquisitions mergers etc.)
Corr	Correction: Correction indicator.
CrossTradeMod	Cross Trade modifier is available to participants to distinguish a trade resulting from a market center's crossing session. Cross Trades will be included in all daily statistical calculations at both the consolidated and market center level.
DeltaNeutral	A position where the sum of the deltas of the component legs adds up 0.
DerivPriced	The transaction was the execution of an order at a price that was not based on the quoted price of the NMS stock at the time of execution, and for which the material terms were not determinable when the commitment to execute the order was made.
Distribution	Payment of cash, stock or other to a company's shareholders

Dlyd	Delayed: Indicates that price is displaying delayed exchange data.
DpthAsk	Depth on Ask Side: Indicates more size behind the quote being disseminated for the offer.
DpthBid	Depth on Bid Side: Indicates more size behind the quote being disseminated for the bid.
DpthBidAsk	Depth on Bid and Ask: Indicates more size behind the reported quote for both bid and offer.
EFP	Exchange For Physical trade: a privately negotiated simultaneous exchange of a futures position for a corresponding cash position apart from the public auction market in the context of a non-interest rate contract.
EFS	Exchange for Swap
EndOfMonth	End of Month
Est	Estimated.
EstSize	Estimated Size
ExchgGen	Generated by exchange for example when price band of trading changed.
ExtHours	Extended Hours Trade
ExtHoursOOS	Extended Hours Trade reported out of sequence and at a time different from the actual transaction time.
FastMkt	Indicates an extremely active period of trading, of short duration.
Final	Final
FinalSettle	Final Settlement: Price at which all outstanding positions in a stock or commodity are marked to market; typically closing price.
Firm	Buy or sell order executed without confirmation, for a fixed period.
Fixing	Fixing: The process of setting a price of a commodity whether in the present or the future.
Forced	Forced
HltNonFrmQte	Halt Non Firm Quote: A regulatory halt used when the level of trading activity in a security is such that the Exchange cannot collect, process, and disseminate quotes that accurately reflect market conditions.
Index	Index
Indicative	Indicative price.
IntAsk	Retail Interest on the ask side.
IntBid	Retail Interest on the Bid Side.
IntBoth	Retail Interest on both bid and ask sides.
IntMktSwp	Indicates an Inter Market Sweep Order.
IntraDaySettl	Intra-day settlement price
IPOPrice	IPO Price
Kassa	Kassa Price: A special house price use on German exchanges as the official price of the asset at the market close of each day.
Late	Late: Transaction executed during market hours, but reported more than 90 seconds after execution.
LateOpn	Late Open
LateOpnXSeq	Late Open X Sequence
LateXSeq	Late X Sequence
Leg	Leg: Contingent orders executed in separate phases
Letter	Letter: Privately placed common stock, so-called because the SEC requires a letter from the purchase stating that the stock is not intended for resale.
LifetimeHi	Lifetime High: Highest price recorded in the security's history.
LifetimeLo	Lifetime Low: Lowest price recorded in the security's history.
LockdMkt	Locked Market: A market where the bid price is equal to the ask price.
Manual	Manual
MktCntrClse	Market center closed.

MktCntrOpn	Market center open.
MktCntrReOpn	Market center re-open.
MktDpth	Market Depth: Quote used in determining the level of accumulation of offers and bids for a security.
MktOrder	Market Order: An order to buy or sell a security at the current market price
MmbrContr	Member Contributed
MmbrContrAvg	Member Contributed Average
Money	Money
MtchTrdes	Matched Trade: Participation in equal amounts of a trade at a certain price.
NAV	Net Asset Value: Net Asset value per share
Negotiated	Negotiated trade
NextDay	Next day: A transaction which calls for delivery of securities between one and four days after the trade date.
Nominal	Nominal: Used for general equities. Bid and Ask prices given by a market maker for valuation, not as an invitation to trade; must be specifically identified as such by prefixing the quotes FYI (for your information) or FVO (for valuation only).
NonResident	Trade participant is not a resident of country where trade is occuring
NoOpnNoResme	No Open No Resume: A trading halt or an opening delay is to be in effect for the rest of the trading day.
NoQual	No Qualifier
Normal	No special information for this transaction
NotExecutable	Price outside Limit Price Band
NoUpdate	NoUpdate
NxtDayOnly	Next Day Only: Cash settling of a security occurring the next business day after trade date.
OddLot	Odd lot is a securities trade in which fewer trading units exchange hands when compared to the normal amount for that particular security. In stock trading, an odd lot is an order for anything less than 100 shares (which is called a round lot).
Offcl	Official
OffclClse	Official Close
OffclClsePrice	Corrected Consolidated Last price: Closing print from exchange was used to override the consolidated close.
OffclOpnPrice	Official Open Price: Price of first transaction for specified security executed during trading session.
OffMkt	Off-Market
Opened	Late Report of Opened Trade
Opening	The opening transaction.
OptSpread	Option Spread: Difference between market value and strike price.
OrderImb	Order Imbalance: A non-regulatory halt used when there is a severe buy or sell order imbalance. To prevent a disorderly market, trading is temporarily suspended.
OrderInflux	Order Influx: A non-regulatory halt used when there is a severe influx of buy and sell orders. To prevent a disorderly market, trading is temporarily suspended.
OTC	Over the counter
Paid	Paid: Made payment on an obligation.
PaidLetter	Paid Letter
PaidMoney	Paid Money
PartDistr	Partial distribution.
PartlPrice	Partial Price
PenaltyBid	Penalty Bid: Bid entered to stabilize security price during distribution period
PotnlPrice	Potential Price
PrelimSettle	Preliminary settlement.

PrelimSpec	Preliminary special
PrevDayPrice	Previous Day Price: Previous day closing price of security.
PrevDayPrimQual	Previous Day Primary Qualifier
PriceVar	Price Variation sale condition is used to denote a regular market session transaction that carries a price that is significantly away from the prevailing consolidated or primary market value at the time of the transaction.
PriceWtd	Price wanted
PriorDay	Prior Day
PriorRef	Prior Reference: Identifies a trade based on a price at a prior point in time, i.e. more than 90 seconds prior to the time of the trade report. The execution time of the trade will be the time of the prior reference.
ProvValue	Provisional Value
QualContTrd	A trade consisting of two or more component orders executed as agent or principal where the execution of one component is contingent upon the execution of all other components at or near the same time and the price is determined by the relationship between the component orders and not the current market price for the security.
RatndLetter	Rationed Letter
RatndMoney	Rationed Money
RatndPrice	Rationed Price
ReOpnAfterHlt	Reopen After Halt: Security is available for trading after a halt.
Rotation	Rotation: Order executed during initial trading rotation on specific day.
Rule 127	To qualify as a 127 print the trade is executed outside the present quote and meets one or both of the following conditions: (1) has a volume of 10,000 shares or more and/or (2) has a dollar value of $200,000 or more.
Rule 127-155	NYSE Rule 127 and AMEX Rule 155 are qualified as trade that are executed outside the present quote and meets one or both of the following conditions: (1) has a volume of 10,000 shares or more and/or (2) has a dollar value of $200,000 or more
Seller	Seller: A sellers option transaction is a special transaction which gives the seller the right to deliver the stock at any time within a specified period, ranging from not less than two calendar days, to not more than 60 calendar days.
SetByAsk	Price Set by Ask: Current market price of security is equal to ask price.
SetByBid	Price Set by Bid: Current market price of security is equal to bid price.
SetByMid	Price Set by Mid Price: Current market price of security is equal to mid price.
SetByTrde	Price Set by Trade: Current market price of security is equal to last trade.
SetsDailyHi	Sets Daily High: Trade executed at the highest price during trading session.
SetsDailyLo	Sets Daily Low: Trade executed at the lowest price during trading session.
SlowQte	This condition indicates that the current price (offer/bid) is in Slow quote mode. While in this mode, auto execution is not eligible on the price(offer/bid) side, and can be traded through pursuant to anticipated Regulation NMS requirements.
SmNoSales	Small No Sales
SoldLast	Sold last: Used when a trade prints in sequence but is reported late.
Sold-OOS	Sold Out of Sequence: Used when a trade is reported out of sequence and at a time different from the actual transaction time.
Special	Special: Indicates special trade that instructs the DTCC not to include the trade in the CNS settlement
SpecPrice	Specialist Price: Transaction executed at specialist price
Split	Split: Execution taking place in two markets
SpreadTrde	Spread Trade: The purchase of one option and the simultaneous sale of a related option, such as two options of the same class but different strike prices and/or expiration dates.
StockOption	The Stock-Option Trade sale condition code is used to identify cash equities transactions which are related to options transactions.

StoppedTrde	Stopped Trade: order prevented from execution by specialist.
StopStckLast	Stopped Stock Sold last: Stopped stock trade that prints in sequence but is reported late.
StopStckOOS	Stopped Stock Sold Out of Sequence: Stopped stock trade of reported out of sequence
suspect	Suspect by Vendor: The price has come through as valid by the exchange, but does not pass range checking filters by the vendor and should be considered suspicious.
SyndBid	Syndicate Bid: a bid to stabilize price of security before secondary offering.
TAPO	Traded Average Price Options
Tax	Tax
TheorPrice	Theoretical Price: determined value of derivative instrument according to a pricing model.
TotWrnts	Total Warrants
TrflesPaid	Trifles Paid
UnderInvest	Investigation: Price under investigation
UnOffcl	Unofficial
UnOffclClose	Unofficial closing price.
UnOffclOpen	Unofficial opening price.
Unsol	Unsolicited: Indicates whether or not message is being sent as a result of a subscription request or not.
Variable	Variable
VolInterrupt	Volatility Interrupt: Halt in trading due to high volatility.
VolTrde	Volatility Trade
Volume	Volume: The traded volume for the security.
VWAP	Volume Weighted Average
WkdTrde	Worked Trade
XchgeClrd	Exchange Cleared
Xseq	Out of Sequence
Yld	Annual rate of return on an investment, expressed as a percentage.

FIELD DEFINITIONS

Ask	Ask price (lowest available selling price)
Ask Exch	Exchange of ask price
Bid	Bid price (highest available buying price)
Bid Exch	Exchange of bid price
Buyer ID	Buyer and Seller ID's are displayed for the Toronto Stock Exchange.
Conditions	Specific message types sent by each Exchange to represent last sale pricing adjustments and changes (see above for further details)
Date	Date of Quote/Trade
Exch	Exchange of Quote/Trade
Price	Price of completed trade
Seller ID	Buyer and Seller ID's are displayed for the Toronto Stock Exchange.
Seq Num	Sequence number for the trade/quote
Size	Quantity of the trade
Size (B/A)	The bid and ask's size
Time	Time of Quote/Trade
Type	Type of transaction
Value	Dollar value of the trade

EXCHANGE CODES

AMEX	NYSE MKT LLC
BATS	Bats BZX Exchange, Inc
BATY	Bats BYX Exchange, Inc
BB	OTC Bulletin Board
BSE	NASDAQ BX, Inc
BOX	BOX Options Exchange LLC
C2	C2 Options Exchange, Incorporated
CBOE	Chicago Board of Options Exchange, Incorporated
EDGA	Bats EDGA Exchange, Inc
EDGX	Bats EDGX Exchange, Inc
GEM	ISE Gemini
IEX	The Investors Exchange, LLC
ISE	International Securities Exchange, LLC
MCRY	ISE Mercury
MIAX	Miami International Securities Exchange
MSE	Chicago Stock Exchange, Inc
NASD	Nasdaq ADF
NMS	The Nasdaq Stock Market LLC
NSX	National Stock Exchange, Inc
NYSE	New York Stock Exchange LLC
OT	OTC Non Bulletin Board
PHIL	NASDAQ PHLX LLC
PSE	NYSE Arca, Inc
THRD	Third Market (NASD)

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